



#5  
S.I  
1029-03

2614

PATENT  
450100-03743

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tomohiro NISHI et al.  
Serial No. : 10/085,659  
Filed : February 26, 2002  
For : OPTICAL STATE MODULATION METHOD AND SYSTEM, AND  
OPTICAL STATE MODULATION APPARATUS  
Art Unit : 2614

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to:  
Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450, on October 9, 2003

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative

*Glenn F. Savit*

Signature

October 9, 2003

Date of Signature

**RECEIVED**

OCT 22 2003

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in  
37 C.F.R. 1.56, applicants are filing this Information Disclosure  
Statement and the accompanying form PTO-1449 along with copies of  
the prior art therein identified. Such prior art has come to the  
attention of applicants by being cited in a Search Report issued

in the corresponding European application on August 29, 2003. So far as applicants are aware, this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

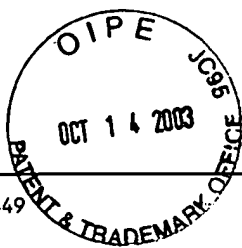
Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800



Based on Form PTO-1449 (3/90)	ATTY. DOCKET NO. 450100-03743	SERIAL NO. 10/085,659
	APPLICANT Tomohiro NISHI et al.	
	FILING DATE February 26, 2002	GROUP 2614

LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL	WO 01 33846	05/10/01	PCT			
	AM						
	AN						
	AO						
	AP						

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ		
	AR		
	AS		

EXAMINER	DATE CONSIDERED
----------	-----------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.